

To be filled in by the broker-dealer company

Registration number					Activity code					Tax ID number									
Business name:																			
Registered office:																			

BALANCE SHEET OF A BROKER-DEALER COMPANY

Date ____ . ____ 20 ____

- in 000 RSD -

Account cluster, account	ITEM	ADP	Note number	Amount		
				Current year	Previous year	
					Closing balance __ 20 __	Opening balance 01.01.20 __.
1	2	3	4	5	6	7
	ASSETS					
	A. NON-CURRENT ASSETS (0002+0003+0006+0009+0010+0011+0012)	0001				
00	I INTANGIBLE ASSETS	0002				
01	II TANGIBLE ASSETS (0004+0005)	0003				
01 (except 012)	1. Tangible assets	0004				
012	2. Investment property	0005				
020 and 021	III INVESTMENTS IN CAPITAL (0007+0008)	0006				
020	1. Investments in capital of subsidiaries	0007				
021	2. Investments in capital of associated companies and joint venture	0008				
02 (except 020, 021 and 026)	IV LONG-TERM INVESTMENTS	0009				
31	V LONG-TERM SECURITIES VALUED AT FAIR VALUE THROUGH OTHER COMPREHENSIVE INCOME	0010				
part 32	VI LONG-TERM SECURITIES VALUED AT AMORTISED VALUE	0011				
03	VII LONG-TERM RECEIVABLES	0012				
	B. CURRENT ASSETS (0014+0015+0016+0024)	0013				
10, 12	I INVENTORIES AND ADVANCES PAID	0014				
11	II NON-CURRENT ASSETS INTENDED FOR SALE AND ASSETS FROM DISCONTINUED OPERATIONS	0015				
20,21,22, 23, 24 (except 246), 25 and 26	III RECEIVABLES, INVESTMENTS, CASH AND VALUE ADDED TAX (0017+0018+0019+0020+0021+0022+0023)	0016				
20	1. Receivables for fees and commissions on performance of investment services	0017				

Account cluster, account	ITEM	ADP	Note number	Amount		
				Current year	Previous year	
					Closing balance __20__	Opening balance 01.01.20__.
1	2	3	4	5	6	7
21	2. Receivables for fees and commissions for carrying out ancillary services	0018				
22	3. Receivables for interests, dividends and other investments	0019				
23	4. Other receivables	0020				
24 (except 246)	5. Short-term financial investments	0021				
25	6. Cash equivalents and cash	0022				
26	7. Value Added Tax	0023				
30, part 32	IV SECURITIES AND OTHER FINANCIAL INSTRUMENTS (0025+0026)	0024				
part 32	1. Securities valued at amortized cost – current portion	0025				
30	2. Securities at fair value through profit and loss account	0026				
278	V. DEFERRED TAX ASSETS	0027				
27 except 278	G. PREPAYMENTS AND ACCRUED INCOME	0028				
	D. TOTAL ASSETS = BUSINESS ASSETS (0001+0013+0027+0028)	0029				
98	Đ. OFF-BALANCE SHEET ASSETS	0030				
	LIABILITIES					
	A. CAPITAL (0402-0403+0404+0407+0408+0409-0410+0411+0414-0415-0418) ≥ 0	0401				
40	I CORE CAPITAL	0402				
41	II SUBSCRIBED CAPITAL UNPAID	0403				
42	III CAPITAL RESERVES (0405+0406)	0404				
420	1. Share issuing premiums	0405				
421	2. Other capital reserves	0406				
43	IV RESERVES FROM PROFIT	0407				
440	V EFFECTS OF RESTATEMENT OF CAPITAL	0408				
credit balance 441, 442, 443, 444, 445 и 446	VI UNREALIZED PROFIT	0409				

Account cluster, account	ITEM	ADP	Note number	Amount		
				Current year	Previous year	
					Closing balance __20__	Opening balance 01.01.20 __.
1	2	3	4	5	6	7
debit balance 441, 442, 443, 444, 445 и 446	VII UNREALIZED LOSSES	0410				
45	VIII RETAINED EARNINGS (0412+0413)	0411				
450	1. Retained earnings from previous year	0412				
451	2. Retained earnings current year	0413				
	IX PARTICIPATION WITHOUT CONTROL RIGHTS	0414				
46	X LOSS (0416+0417)	0415				
460	1. Loss from previous years	0416				
461	2. Loss from the current year	0417				
026, 246	XI TREASURY STOCK (OWN SHARES PURCHASED)	0418				
	B. LONG-TERM PROVISIONS AND LIABILITIES (0420+0421+0422+0428+0434+0440)	0419				
50	I LIABILITIES THAT CAN BE CONVERTED IN CAPITAL	0420				
51	II LONG-TERM PROVISIONS	0421				
part 52	III LONG-TERM FINANCIAL LIABILITIES (0423+0424+0425+0426+0427)	0422				
part 520	1. Liabilities to related parties	0423				
part 521	2. Long-term loans	0424				
part 522	3. Leasing liabilities	0425				
part 524, part 525, part 526 and part 527	4. Liabilities for issued securities and other financial instruments in a period longer than a year	0426				
part 523 и part 529	5. Other long-term financial liabilities	0427				
part 52	IV SHORT-TERM FINANCIAL LIABILITIES (0429+0430+0431+0432+0433)	0428				
part 520	1. Liabilities to related parties	0429				
part 521	2. Liabilities under short-term loans and the part of long-term loans that matures within one year	0430				
part 522	3. Leasing liabilities	0431				

Account cluster, account	ITEM	ADP	Note number	Amou		
				Current year	Previous year	
					Closing balance 20__	Opening balance 01.01.20__.
1	2	3	4	5	6	7
part 524, part 525, part 526 and part 527	4. Liabilities for issued securities and other financial instruments , in a period less than one year	0432				
part 523 and part 529	5. Other short-term financial liabilities	0433				
53	V LIABILITIES FROM BUSINESS OPERATIONS (0435+0436+0437+0438+0439)	0434				
530 and 531	1. Liabilities based on trading financial instruments	0435				
532	2. Trade payables	0436				
534	3. Liabilities for salaries and salary compensations	0437				
533 and 536	4. Dividend liabilities and liabilities to members of the board	0438				
535, 537 and 539	5. Other operating liabilities	0439				
54,55 и 56 (except 568)	VI OTHER SHORT-TERM LIABILITIES (0441+0442+0443)	0440				
54	1. Liabilities for other taxes and contributions	0441				
55	2. Liabilities for value added tax	0442				
56 (except 568)	3. Accruals and deferred income	0443				
568	V. DEFERRED TAX LIABILITIES	0444				
57	G. NON-CURRENT ASSETS INTENDED FOR SALE AND ASSETS FROM DISCONTINUED OPERATIONS	0445				
	D. LOSS OVER CAPITAL (0410+0415-0414+0418-0402+0403-0404-0407-0408-0409-0411) ≥ 0 = (0419+0444+0445-0029) ≥ 0	0446				
	Đ. TOTAL BALANCE SHEET LIABILITIES (0401+0419+0444+0445-0446)	0447				
99	E. OFF-BALANCE SHEET LIABILITIES	0448				

In _____

_____(date)

Legal representative